

# Low-temperature anomalies in glasses from the mean-field viewpoint

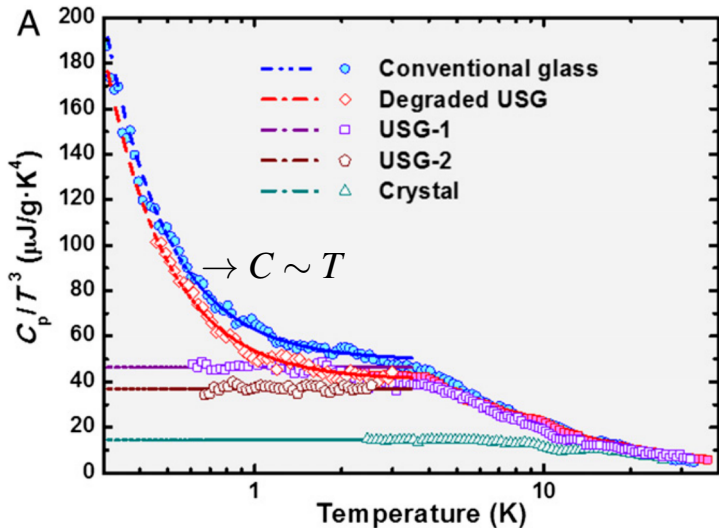
Thibaud Maimbourg

## References:

Franz, Maimbourg, Parisi, Scardicchio *PNAS* 2019 (*jamming transition*)  
Maimbourg, Urbani [*in preparation*]



# Anomalous scalings in glasses at very low temperature



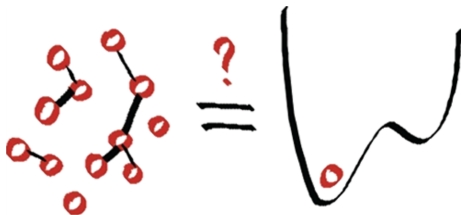
[Zeller, Pohl *PRL* 1971]  
 [Pérez-Castañeda, Rodríguez-Tinoco,  
 Rodríguez-Viejo, Ramos *PNAS* 2014]

**Debye:**  $H \approx E_{\min} + \frac{1}{2} \sum_i m_i \omega_i^2 x_i^2$  with acoustic phonons  $\omega \propto k \Rightarrow C \sim T^d$

## ■ Standard Tunneling Model

[Anderson Halperin Varma *Phil. Mag.* 1972]

[Phillips *J. Low. Temp. Phys.* 1972]



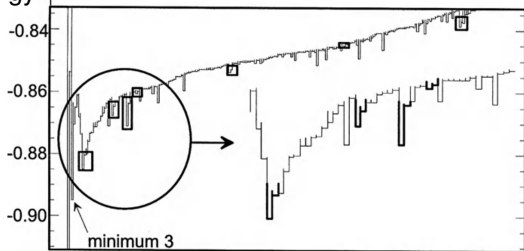
assume flat DOS  $\rho(\epsilon) \rightarrow \rho(0) \neq 0$

$$C_V = \frac{\partial}{\partial T} \int_0^\infty d\epsilon \rho(\epsilon) \frac{\epsilon}{e^{\beta\epsilon} + 1} \underset{T \rightarrow 0}{\rightarrow} \rho(0) T$$

- **Soft Potential Model (1980s):** low-energy modes given by *independent* oscillators  $v(x) \propto hx + mx^2 + x^4$  with ad-hoc  $P(h, m)$

# Search for TTLS in experiments and numerical simulations

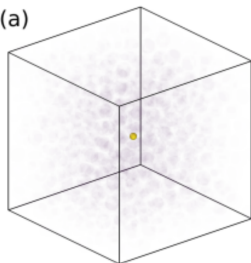
landscape energy  $\uparrow$



[Heuer PRL 1997]

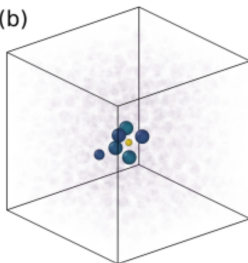
don't ask  $\rightarrow$

(a)



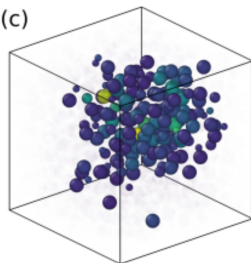
Displacement Magnitude  
0 0.28  $\sigma$

(b)



Displacement Magnitude  
0 0.36  $\sigma$

(c)



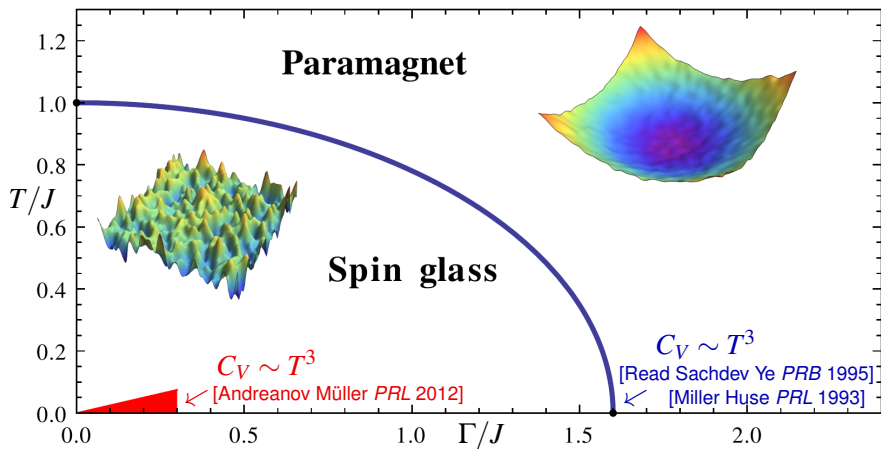
Displacement Magnitude  
0 0.14  $\sigma$

[Mocanu, Berthier, Ciarella, Khomenko, Reichman, Scalliet, Zamponi arXiv:2209.09579]

# Mean-field models: a bit of history

## Sherrington-Kirkpatrick in transverse field (SK):

$$\hat{H}_{\text{SK}} = -\sum_{i<j} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z - \Gamma \sum_i \hat{\sigma}_i^x$$



# Mean-field models: a bit of history

- Replica-symmetry breaking (RSB) terms must be included

⇒  $C_V \sim T$  inside the glass phase in SK and  $SU(N \rightarrow \infty)$  Heisenberg

[Georges Parcollet Sachdev *PRB* 2001] [Cugliandolo, Grepel, da Silva Santos, *PRB* 2001]

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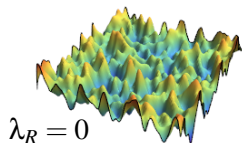
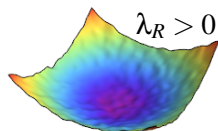
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## Systematic perturbative expansion:

$$\hbar \rightarrow 0 \text{ with } \beta\hbar \text{ fixed}$$

## Mechanism:

replicon  $\lambda_R = 0 \Rightarrow C_V \sim T^3$  in many glass models  
(including  $SU(N \rightarrow \infty)$  Heisenberg, not SK!)



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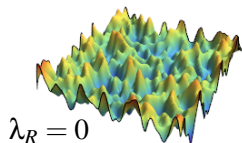
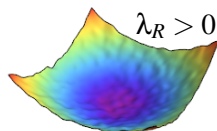
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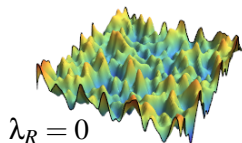
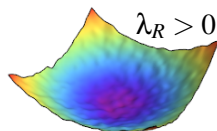
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**Low-energy excitations in mean-field quantum spin glasses  
(such as SK) seem not entirely understood**



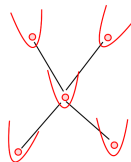
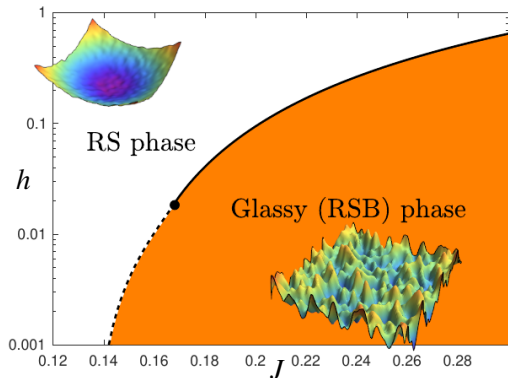
# KHGPS model: solvable in the classical $T \rightarrow 0$ limit

Following in particular R. Kühn's works

$$H = \sum_{i < j}^{1, N} J_{ij} x_i x_j + \sum_{i=1}^N \frac{x_i^4}{4!} + \frac{\kappa_i}{2} x_i^2 - h x_i$$

Gaussian  $\overline{J_{ij}^2} = J^2/N$ ,  $p(\kappa)$  uniform in  $[\kappa_m > 0, \kappa_M]$

[Bouchbinder Lerner Rainone Urbani Zamponi *PRB* 2021]



[Folena Urbani *JSTAT* 2021]

[Urbani *J. Phys. A* 2021]

**Classical  $T = 0$  phase diagram**

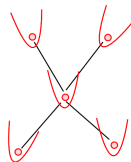
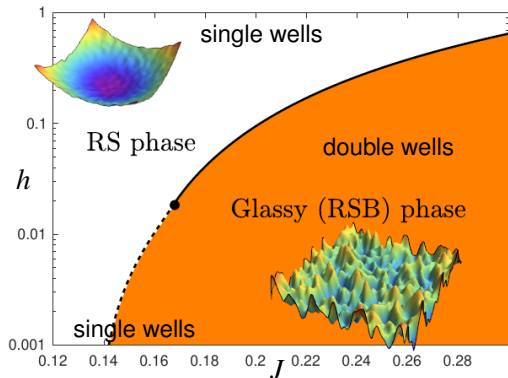
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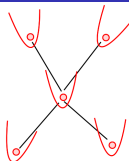
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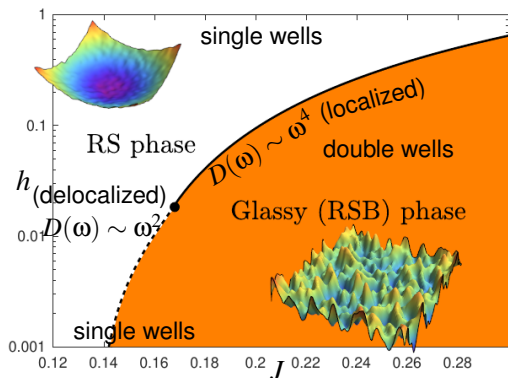
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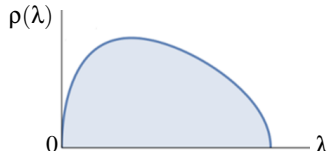


## Hessian in an energy minimum

$$\partial_{ij}^2 H = \overbrace{J_{ij}}^{\text{GOE}} + \left( \kappa_i + \frac{(x_i^*)^2}{2} \right) \delta_{ij}$$

eigenvalue density  $\rho(\lambda)$

$\rightarrow$  vibrational DOS  $D(\omega = \sqrt{\lambda})$



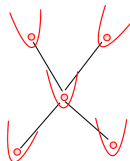
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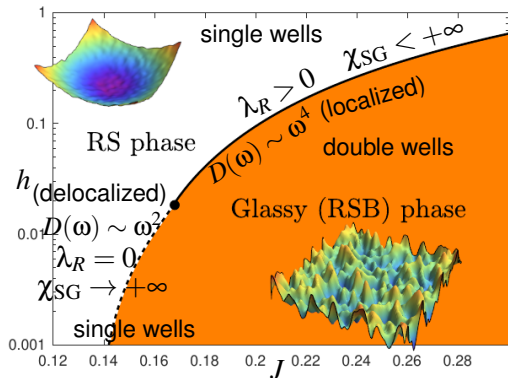
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## Spin-glass susceptibility

$$\chi_{\text{SG}} = \frac{1}{N} \sum_{ij} \left( \langle x_i x_j \rangle - \langle x_i \rangle \langle x_j \rangle \right)^2$$

$$\chi_{\text{SG}} \sim \frac{1}{N} \text{Tr} \left( \partial^2 H \right)^{-2} = \int d\lambda \frac{\rho(\lambda)}{\lambda^2}$$

$$\hat{H} = \sum_{i=1}^N \frac{\hat{p}_i^2}{2M} + \sum_{i < j}^{1,N} J_{ij} \hat{x}_i \hat{x}_j + \sum_{i=1}^N \frac{\hat{x}_i^4}{4!} + \frac{\kappa_i}{2} \hat{x}_i^2 - h \hat{x}_i, \quad [\hat{x}_i, \hat{p}_i] = i\hbar \delta_{ij}$$

**Partition function:** Matsubara periodic trajectories in imaginary time  $t \in [0, \beta\hbar]$

**Disorder average (replicas) + large  $N$ :** order parameter  $q_{ab}(t, s) = \frac{1}{N} \sum_i \langle x_i^a(t) x_i^b(s) \rangle$

$$\frac{U}{N} = \mathcal{U}[q_{ab}(t)] - \int dp(\kappa) dH P_{\kappa}(H) \frac{\partial}{\partial \beta} \ln \oint Dx e^{\mathcal{A}[x]}$$

**Effective action**

$$\mathcal{A}[x] = \frac{J^2}{2\hbar^2} \int_0^{\beta\hbar} dt ds x(t) G(t-s) x(s) - \frac{1}{\hbar} \int_0^{\beta\hbar} dt \left[ \frac{M}{2} \dot{x}^2 + \frac{x^4}{4!} + \frac{\kappa}{2} x^2 - Hx \right]$$

Large  $N$  saddle-point equations with *self-consistent* structure

$$G(t-s) = \int dp(\kappa) dH P_{\kappa}(H) \left( \langle x(t)x(s) \rangle - \langle x(t) \rangle \langle x(s) \rangle \right)$$

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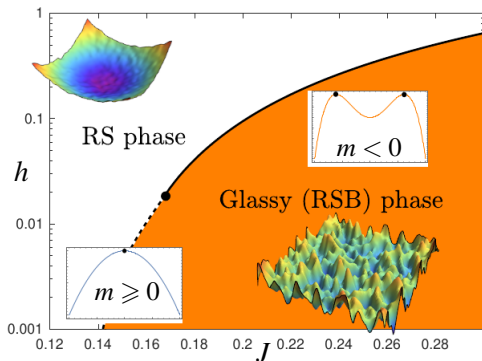
**Strategy: SGLD expansion  $\hbar \rightarrow 0$  at fixed  $\beta\hbar$**

# $\hbar \rightarrow 0$ at fixed $\beta\hbar$ expansion

## Saddle-point asymptotic expansion of averages

$$M\ddot{x}(t) = -J^2 \int_0^{\beta\hbar} ds \frac{G(t-s)}{\hbar} x(s) + \frac{\partial}{\partial x(t)} \left[ \frac{x(t)^4}{4!} + \frac{\kappa}{2} x(t)^2 - Hx(t) \right]$$

**Static solutions :** extrema of  $\frac{x^4}{4!} + \frac{m}{2}x^2 - Hx$  with  $m \equiv \kappa - J^2 \int_0^{\beta\hbar} G/\hbar$



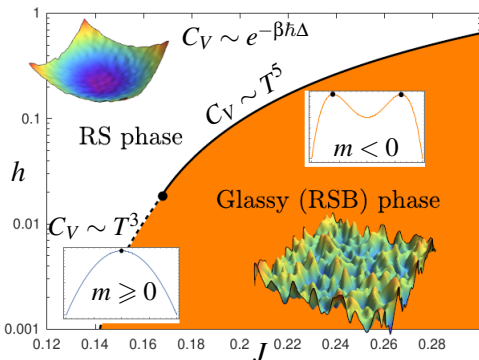


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## If unique static solution

$$\frac{U}{N} = e_{\text{GS}}^{\text{cl}} - \int_0^\infty D(\omega) d\omega \hbar\omega \left( f_{\text{B}}(\omega) + \frac{1}{2} \right) + O(\hbar^2)$$

$$D(\omega) = -\frac{2M\omega}{\pi} \text{Im} \tilde{G}(-i\omega + 0^+)$$

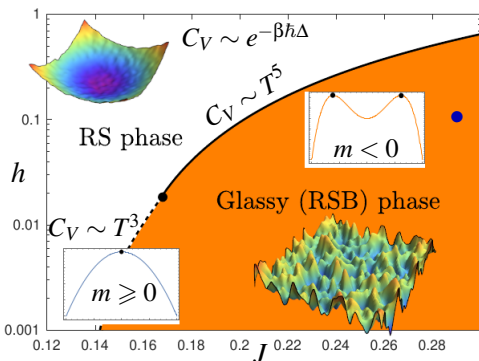
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# 2 static solutions: instantons

## Variational free energy

$$F[q_{a \neq b}, G(t)] \rightarrow F[q_{a \neq b}, \chi = \int_0^{\beta \hbar} G/\hbar]$$

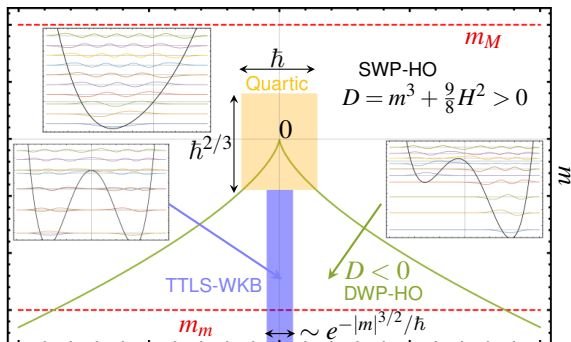
→ solve self-consistent equations for  $q_{a \neq b}, \chi, P_{\kappa}(H) \dots$

$$\ln \oint Dx e^{\mathcal{A}[x]} \rightarrow \text{Tre}^{-\beta \hat{H}_{\text{eff}}}$$

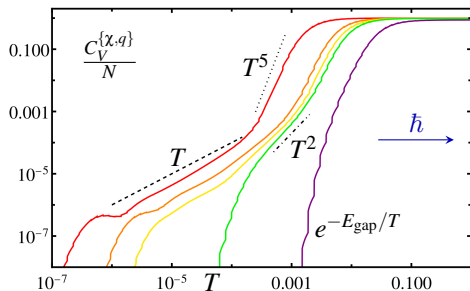
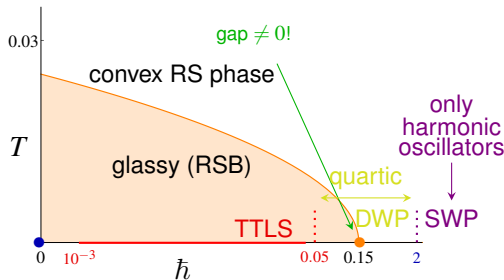
$$\hat{H}_{\text{eff}} = \frac{\hat{p}^2}{2M} + \frac{\hat{x}^4}{4!} + \frac{m}{2} \hat{x}^2 - H \hat{x}$$

$$\frac{U^a}{N} = f(q_{a \neq b}, \chi) + \int dp(m) dH P_{\kappa}(H) \langle \hat{H}_{\text{eff}} \rangle_H, \quad m \equiv \kappa - J^2 \chi$$

**Solvable for**  
 $\hbar$  finite and  $T \rightarrow 0$



# Numerical/analytical results in the variational treatment



variational approximation  
 $\Rightarrow$  energy gap cannot vanish  
 $\Rightarrow$  factor  $e^{-E_{\text{gap}}/T}$  in  $C_V$  at low enough  $T$

# Beyond: lessons from the spin-boson model

## Need for full imaginary-time dependence when instantons are present

$$\mathcal{A}[x] = \frac{J^2}{2\hbar^2} \int_0^{\beta\hbar} dt ds x(t) G(t-s) x(s) - \frac{1}{\hbar} \int_0^{\beta\hbar} dt \left[ \frac{M}{2} \dot{x}^2 + \frac{x^4}{4!} + \frac{\kappa}{2} x^2 - Hx \right]$$
$$G(t-s) = \int dp(\kappa) dH P_{\kappa}(H) \left( \langle x(t)x(s) \rangle - \langle x(t) \rangle \langle x(s) \rangle \right)$$

Assume we have solved for  $\tilde{G}(\omega) \sim \omega^s \rightarrow$  *approximate* mapping to Caldeira-Leggett:

$$\tilde{H} = \frac{\hat{p}^2}{2M} + \frac{\hat{x}^4}{4!} + \frac{\kappa}{2} \hat{x}^2 - H\hat{x} + \sum_{\alpha} \frac{\hat{p}_{\alpha}^2}{2m_{\alpha}} + \frac{m_{\alpha} \omega_{\alpha}^2}{2} \hat{x}_{\alpha}^2 - c_{\alpha} \hat{x}_{\alpha} \hat{x}$$

## Symmetric double wells at low $T$ : mapped to spin-boson model

$$\tilde{H} \sim \frac{\Delta_0}{2} \hat{\sigma}_x - \frac{1}{2} \hat{\sigma}_z \sum_{\alpha} \hbar \lambda_{\alpha} (\hat{b}_{\alpha} + \hat{b}_{\alpha}^{\dagger}) + \sum_{\alpha} \hbar \omega_{\alpha} \hat{b}_{\alpha}^{\dagger} \hat{b}_{\alpha}$$

with bath spectral function  $\sim \tilde{G}(\omega)$

**For  $s \leq 1$  renormalization by the bath modes**

**$\Rightarrow$  vanishing gap  $\Delta_0 = 0$  (localization) and  $C_V \sim T^s$**

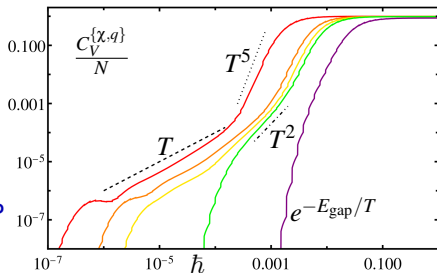
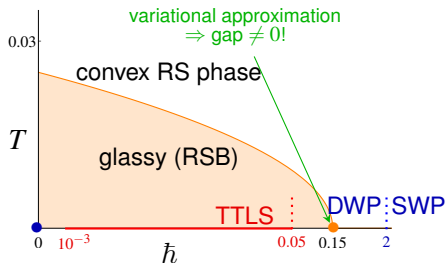
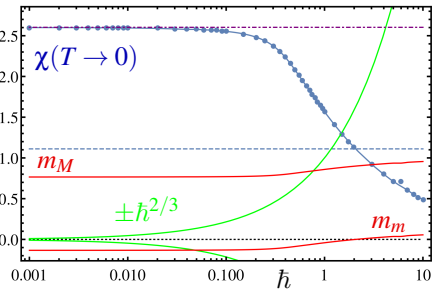
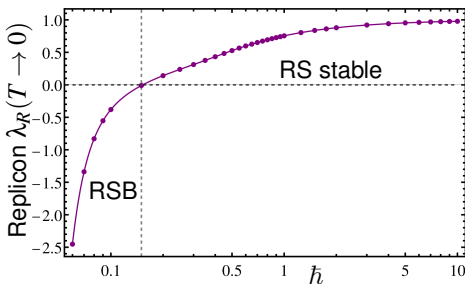
[Bray Moore *PRL* 1982, Charkravarty *PRL* 1982, Gorlich Weiss *PRB* 1988]

# Conclusions

- Need for better understanding of low-energy excitations in quantum mean-field glasses
- KHGPS good mean-field model for finite-dimensional aspects of glasses
- KHGPS quantum thermodynamics has consistent features of delocalized soft harmonic excitations (Debye-like), localized classical non-linear excitations and tunneling two-level systems
- Clearer physical picture of semiclassical expansion  $\hbar \rightarrow 0$  with  $\beta\hbar$  fixed:
  1. no instantons  $\Rightarrow$  critical scaling ruled by RMT (a disordered version of Debye theory)
  2. instantons  $\Rightarrow$  physics closer to the TTLS picture
- Full imaginary-time solution needed in the instantonic regime to find true criticality and possibly interesting physics phenomena like localization

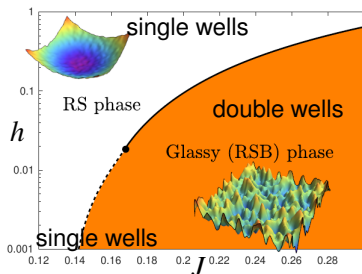
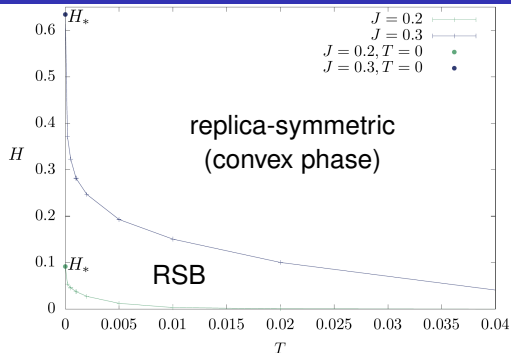


# Numerical/analytical results in the variational treatment





# KHGPS model: transition in a field at $T = 0$

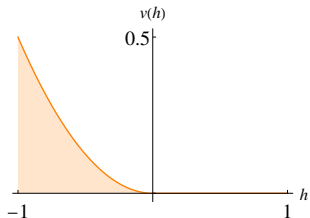


$$H = \sum_{i < j}^{1, N} J_{ij} x_i x_j + \sum_{i=1}^N \frac{x_i^4}{4!} + \frac{\kappa_i}{2} x_i^2 - h x_i$$

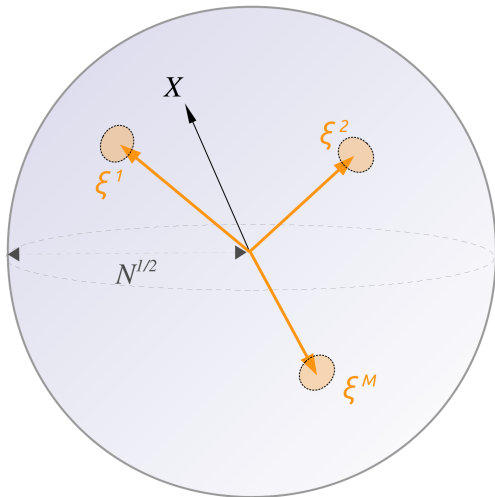
## Classical spherical perceptron

- $\mathbf{X} = (X_1, \dots, X_N)$   $N \rightarrow \infty$   
on a sphere  $\mathbf{X}^2 = N$
- Random obstacles  $\xi^\mu$ ,  $\mu \in \llbracket 1, M \rrbracket$   
density  $\alpha = M/N$
- $H = \sum_{\mu=1}^M v(\underbrace{r_\mu(\mathbf{X}) - \sigma}_{\text{"interparticle gap"}})$

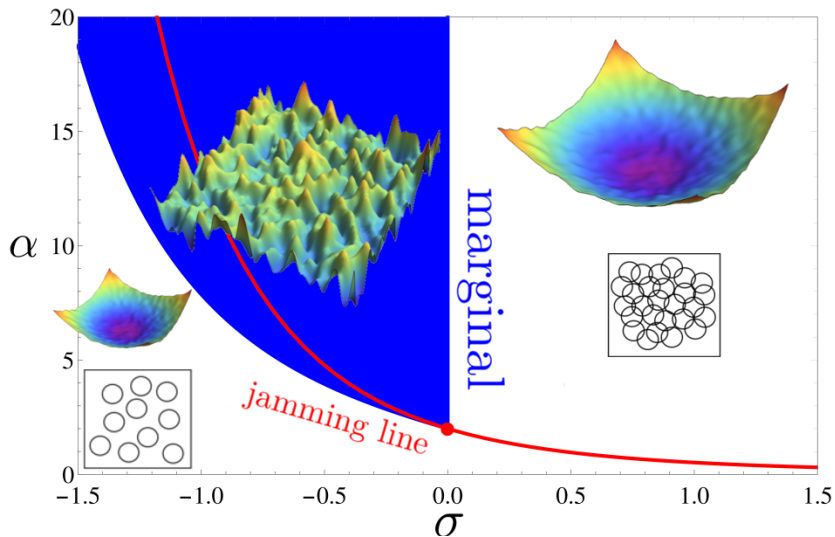
$$r_\mu(\mathbf{X}) = \frac{\xi^\mu \cdot \mathbf{X}}{\sqrt{N}}$$



$\sigma < 0$ : non-convex phase



# Classical phase diagram at $T = 0$

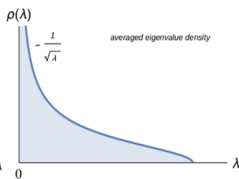
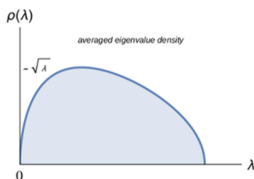
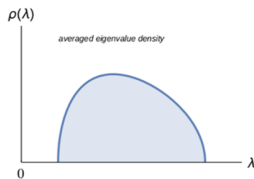
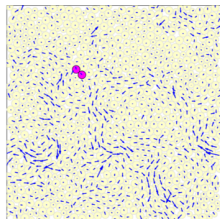
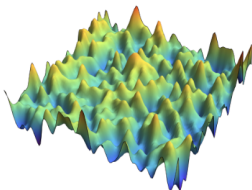
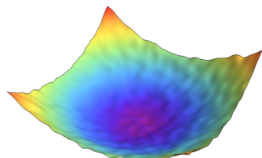


[Franz, Parisi *JPhysA* 2016], [Franz, Parisi, Sevelev, Urbani, Zamponi *SciPost* 2017]

# Debye approximation and vibrational spectrum

$$C_V = \frac{\partial}{\partial T} \int_0^\infty d\omega D(\omega) \frac{\hbar\omega}{e^{\beta\hbar\omega} - 1}$$

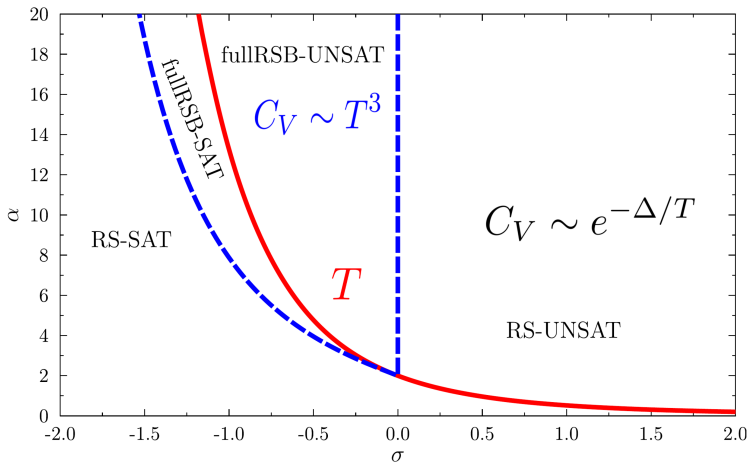
**Density of vibrational modes  $D(\omega)$ :**  $m\omega^2 = \lambda$  eigenvalue of  $\partial^2 H / \partial X_i \partial X_j$



[Franz, Parisi, Urbani, Zamponi *PNAS* 2015]

# Debye approximation for $T \rightarrow 0$ in the overjammed phase

$$C_V = \frac{\partial}{\partial T} \int_0^\infty d\omega D(\omega) \frac{\hbar\omega}{e^{\beta\hbar\omega} - 1}$$



- 1 At jamming, extensive number of soft modes !
- 2 The jamming transition should become a crossover for  $\hbar > 0$

# Specific heat from the free energy

## Quantum version of the perceptron:

$$[X_i, P_j] = i\hbar\delta_{ij}$$
$$\hat{H} = \frac{\hat{\mathbf{P}}^2}{2m} + \sum_{\mu=1}^M v(r_{\mu}(\hat{\mathbf{X}}) - \sigma)$$

## Schehr-Le Doussal-Giamarchi (2004) expansion:

$\hbar \rightarrow 0$  with fixed Matsubara period  $\beta\hbar \Rightarrow T \sim \hbar \rightarrow 0$   
provides mechanism for scaling in  $T$  valid at all orders

## Imaginary-time action:

$$-\frac{1}{\hbar} \int_0^{\beta\hbar} d\tau \left[ \frac{m}{2} \dot{\mathbf{X}}^2 + \sum_{\mu} v(h_{\mu}(\mathbf{X})) \right]$$

## Thermodynamic energy:

$$\frac{U_{\text{tot}}}{N} = \underbrace{u_{(0)}(\beta\hbar)}_{\text{classical ground state}} + \hbar u_{(1)}(\beta\hbar) + O(\hbar^2)$$

# Low-temperature specific heat in the overjammed phase

**Single-basin phase:** gapped  $C_V \sim e^{-\Delta/T}$

**(Landscape) marginally stable phase:**  $\Delta = 0$  ( $\Rightarrow C_V =$  power law)

**Finite  $\hbar$ :** in the whole marginal phase

$$C_V \propto \frac{T}{\hbar} \mathcal{F}(T/T_{\text{cut}}) \propto \frac{T^3}{T_{\text{cut}}^2 + T^2}$$

■  $\exists$  cutoff temperature  $T_{\text{cut}}$

( $\delta =$  distance to jamming)

$$T_{\text{cut}} \propto \underbrace{\sqrt{\frac{\varepsilon}{m k_B \mathcal{D}}}}_{\approx 1 \text{ K}} \hbar \sqrt{\delta} + \underbrace{O(\hbar^2)}_{\approx 0.01 \text{ K}}$$

$$T \ll T_{\text{cut}} \Rightarrow C_V \propto T^3$$

$$T \gg T_{\text{cut}} \Rightarrow C_V \propto T$$



**Finite  $\hbar$ :** in the whole marginal phase

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$$T \ll T_{\text{cut}} \Rightarrow C_V \propto T^3$$

$$T \gg T_{\text{cut}} \Rightarrow C_V \propto T$$

## Summary:

- Marginal landscape brings soft glassy modes, entails a power-law  $C_V$  and excess  $C_V$  w.r.t. the crystal
- Depending on the value of  $T_{\text{cut}}$ , due to avoided jamming criticality, one may observe the linear scaling.  
More likely if the system is close to the classical jamming line
- Debye approximation does not hold close to jamming
- Jamming becomes a crossover for  $\hbar \rightarrow 0$